

**University of Colorado Denver
Principal Investigator's
Request for Intellectual Property Variance**

Background:

From time to time, the University of Colorado is asked to enter into research contracts or other agreements that contain concessions regarding patents, copyrights or other intellectual properties. Faculty inventors and authors have a financial interest in patents and some copyrights, as does the University itself, so the University is reluctant to accept such concessions. The financial stakeholders are those who share revenues from commercialization of intellectual property as follows:

25% to the inventors personally	25% to inventor's laboratory
25% to inventor's department or unit	25% to the University

To commercialize intellectual property, the University retains ownership of patents and copyrights arising from contracts, materials transfer agreements and other research agreements, and offers sponsors a non-exclusive license for *internal* uses or a first right to negotiate an exclusive license for commercial uses. The Executive Vice Chancellor has the authority to accept lesser rights for the University but, before considering that option, reviews input from other stakeholders such as the Principal Investigator, the Department Chair or Unit Head, and the Bio/Medical Technology Transfer Office.

Request for Variance:

Sponsor Name _____ Agreement # _____

Principal Investigator: _____

OGC Proposal #: _____

Intellectual Property Rights Requested by Sponsor: _____

1. Does the scope of work for this project overlap with the scope of work of any of your other funded research such that there could be overlapping inventions? No ☐ Yes ☐ If yes, explain and identify source of funding: _____

2. Does the P.I. or others involved in the performance of the agreement have a financial interest in the sponsor company? No ☐ Yes ☐ If yes, explain: _____

3. Does the P.I. or others involved in the performance of the agreement receive payments, in addition to the grant award, from the sponsor company? No ☐ Yes ☐ If yes, explain: _____

4. Are there other conflicts of interest or commitment related to the agreement? No ☐ Yes ☐ If yes, explain: _____

Certification:

As Principal Investigator, I understand that the intellectual property rights specified in this agreement are less than those usually reserved for the University on my behalf and on behalf of my research group and primary unit. I also acknowledge that I assume full responsibility for informing all investigators involved or become involved with this project that the intellectual property rights have been waived. By my signature below, I request the University accept these concessions. I am making this request because I believe that (check all that apply):

_____ The probability of an invention arising under this contract is very low

_____ Funding from this agreement is immediate and almost certain; funding is more important to me than future revenue from unforeseen inventions

_____ Negotiating more favorable terms would jeopardize my relationship with the sponsor

_____ Other (please specify): _____

Approval Signatures:

Principal Investigator Name _____

Signature _____ Date _____

Department Chair/Unit Head Name _____

Signature _____ Date _____

Technology Transfer Office: _____ Date _____